

## **Part 2 of Form ADV: Brochure Supplement**

James Michael Conrads  
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This brochure supplement provides information about James Michael Conrads that supplements the Capstone Wealth Management Group, LLC brochure. You should have received a copy of that brochure. Please contact Kevin Serrapede at 541-330-0266 if you did not receive the Capstone Wealth Management Group LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James Michael Conrads is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 2 Educational Background and Business Experience**

**Full Legal Name:** James Michael Conrads; Born: 1953

### **Education**

- Stephen F. Austin State University; BA, Accounting; 1978
- Golden Gate University; MBA, Finance; 1984

### **Business Experience**

- Capstone Wealth Management Group, LLC, Principal; from May 2003 to Present
- Pacific West Securities Inc; Registered Representative; Sept. 2005 – Dec. 2009
- Syndicated Capital Inc; Registered Representative; July 2004 – Sept. 2005

### **Designations**

James Michael Conrads has earned the following designation(s) and is in good standing with the granting authority:

- Certified Financial Planner; Certified Financial Planner Board of Standards, Inc.; 2005.

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

- Chartered Financial Consultant; American College; 2005  
The ChFC program is administered by the American College. This designation has the same core curriculum and the CFP™ designation, plus two or three additional elective courses that focus on various areas of personal financial planning. In addition to successful completion of an exam on areas of financial planning, including income tax, insurance, investment and estate planning,

candidates are required to have a minimum of three (3) years' experience in a financial industry position.

### **Item 3 Disciplinary Information**

James Michael Conrads has no reportable disciplinary history.

### **Item 4 Other Business Information**

#### **A. Investment-Related Activities**

1. James Michael Conrads is not engaged in any other investment-related activities.
2. James Michael Conrads does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

#### **B. Non Investment-Related Activities**

James Michael Conrads is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

### **Item 5 Additional Compensation**

James Michael Conrads does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### **Item 6 Supervision**

**Supervisor:** Kevin Serrapede

**Title:** Chief Compliance Officer

**Phone Number:** 541-330-8972

CWMG follows a standardized set of procedures to ensure its Investment Advisor Representatives meet the fiduciary standard they owe to clients. A few of the tasks that help ensure successfully meeting this standard are:

- Review of all client trades to ensure they match the clients Investment Advisory Agreements.

- Ensuring client transactions are executed prior to any Representatives trades to prevent any conflicts of interest.
- Regularly reviewing the company's Written Supervisory Manual that details our responsibilities to the client.
- Adherence to our Code of Ethics.
- Protection of client's personal information.
- Meeting the "Fiduciary Standard" which means "always putting the client's best interest first".
- Having procedures and data back up plans in place to ensure business continuity.